First-principles simulations at the nanoscale (and towards the exascale)
using QUANTUM ESPRESSO

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Quantum simulation of matter at the nanoscale

Nanoscale: phenomena happening on a scale of lengths up to a few tens of nm.

Basic theoretical tools:

- Density-Functional Theory (DFT) (P. Hohenberg, W. Kohn, and L. Sham, 1964-65)
- Car-Parrinello and other iterative techniques (SISSA 1985, and many other places since)

Sometimes referred to as The Standard Model of materials science.
the saga of time and length scales

- **nano scale**
  - $\hbar = 1$
- **macro scale**
  - $\hbar = 0$

- **time [s]**
  - $10^{-15}$
  - $10^{-12}$
  - $10^{-9}$
  - $10^{-6}$
  - $10^{-3}$

- **length [m]**
  - $10^{-9}$
  - $10^{-6}$
  - $10^{-3}$
the saga of time and length scales

- time [s]
- length [m]

- nano scale
- micro scale
- macro scale

- thermodynamics & finite elements
- kinetic Monte Carlo
- electronic structure methods
- classical molecular dynamics

- $\hbar = 1$
- $\varepsilon = 0$
size vs. accuracy

- classical empirical methods
  - pair potentials
  - force fields
  - shell models

- quantum empirical methods
  - tight-binding
  - embedded atom

- quantum self-consistent methods
  - density Functional Theory
  - Hartree-Fock

- quantum many-body methods
  - quantum Monte Carlo
  - MP2, CCSD(T), CI
  - GW, BSE
At the nanoscale: new materials

Most common atomic configurations in amorphous CdTeO$_x$, $x = 0.2$; Phys. Rev. B 79, 014205 (2009).
At the nanoscale: new devices

Organic-inorganic semiconductor heterojunction, phtalocyanine over TiO$_2$ anatase surface; Chem. Mater. 21, 4555 (2009).
At the nanoscale: nanocatalysis

Metal-β-amyloid interactions; Metallomics 4, 156 (2012).
Towards the exascale: massive parallelization

C@Ir(001)
443 atoms
2987 electrons

... still not forgetting smaller machines! In the figure, Nicola Marzari’s smartphone running QUANTUM ESPRESSO
First-principles simulations

Time-dependent Schrödinger equation for nuclei $\mathbf{R} \equiv \{\mathbf{R}_I\}$ and electrons $\mathbf{r} \equiv \{\mathbf{r}_i\}$:

$$i\hbar \frac{\partial \hat{\Phi}(\mathbf{r}, \mathbf{R}; t)}{\partial t} = \left( -\sum_I \frac{\hbar^2}{2M_I} \nabla^2_{\mathbf{R}_I} - \sum_i \frac{\hbar^2}{2m} \nabla^2_{\mathbf{r}_i} + V(\mathbf{r}, \mathbf{R}) \right) \hat{\Phi}(\mathbf{r}, \mathbf{R}; t)$$

Born-Oppenheimer (or adiabatic) approximation, valid for $M_I >> m$:

$$\hat{\Phi}(\mathbf{r}, \mathbf{R}; t) \simeq \Phi(\mathbf{R}) \Psi(\mathbf{r}|\mathbf{R}) e^{-i\hat{H}t/\hbar}$$

Problem splits into an electronic problem depending upon nuclear positions:

$$\left( -\sum_i \frac{\hbar^2}{2m} \nabla^2_{\mathbf{r}_i} + V(\mathbf{r}, \mathbf{R}) \right) \Psi(\mathbf{r}, \mathbf{R}) = E(\mathbf{R}) \Psi(\mathbf{r}, \mathbf{R})$$

and a nuclear problem under an effective interatomic potential $E(\mathbf{R})$, typically treated as classical, with forces on nuclei: $\mathbf{F}_I = -\nabla_{\mathbf{R}_I} E(\mathbf{R})$. 
Density-Functional Theory

Transforms the many-electron problem into an equivalent problem of (fictitious) non-interacting electrons, the *Kohn-Sham equations*:

\[
H \phi_v \equiv \left( -\frac{\hbar^2}{2m} \nabla^2_{\vec{r}} + V_R(\vec{r}) \right) \phi_v(\vec{r}) = \epsilon_v \phi_v(\vec{r})
\]

The effective potential is a *functional* of the charge density:

\[
V_R(\vec{r}) = -\sum_I \frac{Z_I e^2}{|\vec{r} - \vec{R}_I|} + v[n(\vec{r})], \quad n(\vec{r}) = \sum_v |\phi_v(\vec{r})|^2
\]

(Hohenberg-Kohn 1964, Kohn-Sham 1965). Exact form is unknown, but simple approximate forms yielding very accurate (ground-state) results are known.
Density-Functional Theory II

The total energy is also a functional of the charge density:

\[ E \Rightarrow E[\{\phi\}, \mathbf{R}] = -\frac{\hbar^2}{2m} \sum_v \int \phi_v^*(\mathbf{r}) \nabla^2 \phi_v(\mathbf{r}) d\mathbf{r} + \int V_{\mathbf{R}}(\mathbf{r}) n(\mathbf{r}) d\mathbf{r} + \]

\[ + \frac{e^2}{2} \int \frac{n(\mathbf{r}) n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r} d\mathbf{r}' + E_{xc}[n(\mathbf{r})] + \sum_{I \neq J} \frac{e^2}{2} \frac{Z_I Z_J}{|\mathbf{R}_I - \mathbf{R}_J|} \]

Kohn-Sham equations arise from the minimization of the energy functional:

\[ E(\mathbf{R}) = \min_{\phi} E[\{\phi\}, \mathbf{R}], \quad \int \phi_i^*(\mathbf{r}) \phi_j(\mathbf{r}) d\mathbf{r} = \delta_{ij} \]

Hellmann-Feynman theorem holds. Forces on nuclei:

\[ \mathbf{F}_I = -\nabla_{\mathbf{R}_I} E(\mathbf{R}) = -\int n(\mathbf{r}) \nabla_{\mathbf{R}_I} V_{\mathbf{R}}(\mathbf{r}) d\mathbf{r} \]
The tricks of the trade

- expanding the Kohn-Sham orbitals into a suitable \textit{basis} set turns DFT into a multi-variate minimization problem, and the Kohn-Sham equations into a \textit{non-linear matrix eigenvalue problem}.

- the use of \textit{pseudopotentials} allows one to ignore chemically inert core states and to use \textit{plane waves}.

- plane waves are \textit{orthogonal} and the \textit{matrix elements} of the Hamiltonian are usually easy to calculate; the \textit{completeness} of the basis is easy to check.

- plane waves allow to efficiently calculate \textit{matrix-vector products} and to solve the Poisson equation using \textit{Fast Fourier Transforms} (FFTs).
Accuracy vs. Approximations

**Theoretical** approximations / limitations of DFT:

- the Born-Oppenheimer approximation
- DFT functionals (LDA, GGA, ...)
- pseudopotentials
- no easy access to excited states and/or quantum dynamics

**Numerical** approximations / limitations:

- finite/limited size/time
- finite basis set
- differentiation / integration / interpolation
Requirements on effective software for quantum simulations at the nanoscale

- Challenging calculations stress the limits of available computer power: software should be **fast and efficient**

- Diffusion of first-principle techniques among non-specialists requires software that is **easy to use and (reasonably) error-proof**

- Introducing innovation requires new ideas to materialize into new algorithms through codes: software should be **easy to extend and to improve**

- Complex problems require a mix of solutions coming from different approaches and methods: software should be **interoperable with other software**

- Finally, scientific ethics requires that **results should be reproducible and algorithms susceptible of validation**
The QUANTUM ESPRESSO distribution

QUANTUM ESPRESSO stands for Quantum opEn-Source Package for Research in Electronic Structure, Simulation, and Optimization

QUANTUM ESPRESSO is a distribution (an integrated suite) of software for atomistic calculations based on electronic structure, using density-functional theory, a plane-wave basis set, pseudopotentials. Freely available under the terms of the GNU General Public License

The main goals of QUANTUM ESPRESSO are

- *innovation* in methods and algorithms
- *efficiency* on modern computer architectures

A great effort is also devoted to *user friendliness* and to the formation of a *users’ and developers’ community*
QUANTUM ESPRESSO receives contributions from many individuals and partner institutions in Europe and worldwide. Who “owns” QUANTUM ESPRESSO?
The QUANTUM ESPRESSO Foundation: a non-profit ("limited by guarantee") company, based in London, that

- coordinates and supports research, education, and outreach within the QUANTUM ESPRESSO community
- owns the trademarks and protects the open-source character of QUANTUM ESPRESSO
- raises funds to foster the QUANTUM ESPRESSO project
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Current QEF members:

- Scuola Internazionale Superiore di Studi Avanzati (SISSA), Trieste
- Ecole Polytechnique Fédérale de Lausanne (EPFL)
- International Centre for Theoretical Physics (ICTP), Trieste
- Consiglio Nazionale delle Ricerche (IOM-CNR), Italy
- CINECA supercomputing center, Bologna
- University of North Texas
- Duke University
- ...
Development

The distribution is maintained as a single SVN (Subversion) tree. Available to everyone anytime via anonymous access.

- Web site: http://www.quantum-espresso.org
- Developers’ portal: http://www.qe-forge.org

Mailing list (public):

- pw_forum@pwscf.org: for general discussions
- qe_developers@qe-forge.org: used by developers for technical discussions
- qe_commits@qe-forge.org: used by developers, receives commit messages
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2. position within the institution
3. purpose of your subscription request.

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Accounts not showing any activity since more than one year may be suspended; if a request for reactivation is received after a further year, they may be cancelled. The same applies to projects that have released no files.

Currently 45 public projects, 570 registered users, 66 QE developers registered (not all of them active, though!)
Users’ community: factoids

- About 1800 registered users for the pw_forum mailing list
- An average of \( \sim 10 \) messages a day on pw_forum
- Latest version (5.1.1) downloaded almost 20000 [*] times
- 30 Schools or tutorials since 2002, attended by \( \sim 1200 \) users
- 3 developers’ schools since 2013, latest in January 2015

[*] *this number is likely inflated by bots, failed downloads, etc.*
Schools and tutorial using Quantum ESPRESSO

More: Penn State, June 2014; University of Tokyo, April 2014; Pune, July 2014.
Next: Cordoba, September 2015
QUANTUM ESPRESSO: a modular and open-source software project for quantum simulations of materials

Paolo Giannozzi\textsuperscript{1,2}, Stefano Baroni\textsuperscript{1,3}, Nicola Bonini\textsuperscript{4}, Matteo Calandra\textsuperscript{5}, Roberto Car\textsuperscript{6}, Carlo Cavazzoni\textsuperscript{7,8}, Davide Ceresoli\textsuperscript{4}, Guido L Chiarotti\textsuperscript{9}, Matteo Cococcioni\textsuperscript{10}, Ismaila Dabo\textsuperscript{11}, Andrea Dal Corso\textsuperscript{1,3}, Stefano de Gironcoli\textsuperscript{1,3}, Stefano Fabris\textsuperscript{1,3}, Guido Fratesi\textsuperscript{12}, Ralph Gebauer\textsuperscript{1,13}, Uwe Gerstmann\textsuperscript{14}, Christos Gougoussis\textsuperscript{5}, Anton Kokalj\textsuperscript{1,15}, Michele Lazzeri\textsuperscript{5}, Layla Martin-Samos\textsuperscript{1}, Nicola Marzari\textsuperscript{4}, Francesco Mauri\textsuperscript{5}, Riccardo Mazzarello\textsuperscript{16}, Stefano Paolini\textsuperscript{3,9}, Alfredo Pasquarello\textsuperscript{17,18}, Lorenzo Paulatto\textsuperscript{1,3}, Carlo Sbraccia\textsuperscript{1,†}, Sandro Scandolo\textsuperscript{1,13}, Gabriele Sclauzero\textsuperscript{1,3}, Ari P Seitsonen\textsuperscript{5}, Alexander Smogunov\textsuperscript{13}, Paolo Umari\textsuperscript{1} and Renata M Wentzcovitch\textsuperscript{10,19}

Cited approx. 3300 times since publication
Structure of the distribution

- PWCOND
- NEB
- GIPAW
- PW
- Atomic
- PH
- CP
- TDDFPT
- Xspectra
- Wannier90
- SaX
- WannT
- GWW
- PLUMED
- Yambo
Technical characteristics (coding)

- 380000+ Fortran-95 lines, with various degrees of sophistication (i.e. use of advanced f95 features) – no “dusty decks” any longer

- use of standard library routines (lapack, blas, fftw) to achieve portability – Machine-optimized libraries can (should) (must!) be used if available

- C-style preprocessing options allow to keep a single source tree for all architectures (GPUs excepted) from PC’s to BG’s (BlueGene)

- various parallelization levels via MPI calls or OpenMP directives, hidden into calls to a few routines – almost unified serial and parallel versions; parallel code can (usually) be written without knowing the details of how parallelism works.
XML-based data file format

Data format for easy data exchange between different codes:

- a *directory* instead of a single file
- a *formatted* 'head' file contains structural data, computational details, and links to files containing large datasets
- *binary* files for large datasets, one large record per file

Implementation tool: iotk toolkit, a lightweight library. Advantages:

- *efficient*: exploits the file system and binary I/O
- *extensible*: based on “fields” introduced by XML syntax
  
  <field> ... </field>

- *easy* to read, write, and understand
What can **QUANTUM ESPRESSO** do?

- Structural modeling (equilibrium structures of molecules, crystal, surfaces)
- Linear response functions (vibrational and dielectric properties); some non-linear ones (third-order force constants and dielectric response, non-resonant Raman)
- Chemical reactivity and transition-path sampling (Nudged Elastic Band, NEB)
- Dynamical modeling (ab-initio molecular dynamics, Car-Parrinello MD)
- Computational microscopy (simulation of STM images)
- Quantum (ballistic) transport
**Advanced Quantum ESPRESSO capabilities**

- several "beyond-DFT" methods: DFT+U, meta-GGA, hybrid functionals, nonlocal van-der-Waals functionals

- free-energy sampling (metadynamics, with PLUMED plugin)

- computational spectroscopy
  - lattice and molecular vibrations: Raman, Infrared, Neutrons
  - magnons and spin excitations
  - photoemission (with Many-Body Perturbation Theory, MBPT)
  - optical/UV absorption (Time-dependent DFT, MBPT)
  - NMR chemical shifts
  - X-ray spectra, core level shifts
Computer requirements of quantum simulations

Quantum simulations are both CPU and RAM-intensive. Actual CPU time and RAM requirements depend upon:

- **size of the system under examination**: As a rule of thumb, CPU $\propto N^{2{\div}3}$, RAM $\propto N^2$, where $N =$ number of atoms in the unit cell (or supercell)

- **kind of system**: type and arrangement of atoms, influencing the number of plane waves, of Kohn-Sham orbitals, of $\mathbf{k}$-points (in periodic systems) needed...

- **desired results**: computational effort increases from simple self-consistent (single-point) calculation to structural optimization to reaction pathways, molecular-dynamics simulations, ...

CPU time mostly spent in FFT and linear algebra
RAM mostly needed to store Kohn-Sham states
Typical computational requirements

Basic step: self-consistent ground-state DFT electronic structure.

- Simple crystals, small molecules, up to ~ 50 atoms – CPU seconds to hours, RAM up to 1-2 Gb: *may run on single PC*

- Surfaces, larger molecules, complex or defective crystals, up to a few hundreds atoms – CPU hours to days, RAM up to 10-20 Gb: *requires PC clusters or conventional parallel machines*

- Complex nanostructures or biological systems – CPU days to weeks or more, RAM tens to hundreds Gb: *massively parallel machines*

Main factor pushing towards parallel machines is the large CPU requirements — but the need to distribute RAM may also be a strong driving factor.
Parallelization of QUANTUM ESPRESSO

Several parallelization levels are implemented; most of them require fast interprocess communications.

Scalability of realistic calculations on up to tens of thousands cores, using mixed MPI-OpenMP parallelization, has been demonstrated.

Careful optimization of nonscalable RAM and computations required! Scalability strongly depends upon the kind and size of system!

CP Scalability on BG/Q, 1532-atom porphyrin-functionalized carbon nanotube (data from paper appearing in next slide)
Summary of parallelization levels

N. Varini et al. / Computer Physics Communications 184 (2013) 1827–1833
## Summary of parallelization levels (2)

<table>
<thead>
<tr>
<th>group</th>
<th>distributed quantities</th>
<th>communications</th>
<th>performances</th>
</tr>
</thead>
<tbody>
<tr>
<td>image</td>
<td>NEB images, phonon modes</td>
<td>very low</td>
<td>linear CPU scaling, fair to good load balancing; does not distribute RAM</td>
</tr>
<tr>
<td>pool</td>
<td>k-points</td>
<td>low</td>
<td>almost linear CPU scaling, fair to good load balancing; may distribute some RAM</td>
</tr>
<tr>
<td>bands</td>
<td>Kohn-Sham orbitals</td>
<td>high</td>
<td>improves scaling</td>
</tr>
<tr>
<td>plane-wave</td>
<td>PW, <strong>G</strong>-vector coefficients, <strong>R</strong>-space FFT arrays</td>
<td>high</td>
<td>good CPU scaling, good load balancing, distributes most RAM</td>
</tr>
<tr>
<td>task</td>
<td>FFT on electron states</td>
<td>high</td>
<td>improves load balancing</td>
</tr>
<tr>
<td>linear-algebra</td>
<td>subspace hamiltonians and constraints matrices</td>
<td>very high</td>
<td>improves scaling, distributes more RAM</td>
</tr>
<tr>
<td>OpenMP</td>
<td>FFT, libraries</td>
<td>intra-node</td>
<td>extends scaling on multicore machines</td>
</tr>
</tbody>
</table>
Importance of collaboration with computing centers

Ab-initio simulations of Protein-Surface Interactions mediated by WATer

(a-c) Löwdin charges (dq) for selected atoms as a function of the positions, calculated with respect to the corresponding formal atomic values

Density map of Oxygen in the hydration layers

S. Corni, A. Calzolari, G. Cicero, C. Cavazzoni, A. Catellani and R. Di Felice
QE-GPU: GPU-Accelerated Quantum ESPRESSO

Quantum ESPRESSO is an integrated suite of Open-Source computer codes for electronic-structure calculations and materials modeling at the nanoscale.

The aim of QE-GPU is to create a "plugin-like" component for the standard Quantum ESPRESSO package that allows to exploit the capabilities of NVIDIA GPU graphics cards in order to allow materials scientists to do better and fast science. GPU acceleration is currently available for the Plane-Wave Self-Consistent Field (PWScf) code and the energy barriers and reaction
Perspectives and Outlook

- More packages for advanced methodologies
- Better-structured distribution, with interfaces to external codes and to python scripting
- Porting to new architectures: hybrid CPU-GPUs, Intel Xeon Phi
- Towards the exascale (really!): communication-reducing and latency-hiding algorithms, parallelization everywhere
- ...

Credits

- Thanks to all people whose slides and pictures I borrowed
- Thanks to all people who contributed to **Quantum ESPRESSO**
- ...and thanks to you all